# Preparation of XAFS Samples

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## Acknowledgements

Stern, Dale Sayers, Farrel Lytle, Steve Heald, Tim Elam, Bruce Bunker and other early members of the UW XAFS group

Firouzeh Tannazi (IIT/BCPS) (recent results on fluorescence in complex materials)

Suggested References:

Steve Heald's article "designing an EXAFS experiment" in Koningsberger and Prins and early work cited therein (e.g. Stern and Lu)

Rob Scarrow's notes posted at <u>http://cars9.uchicago.edu/xafs/NSLS\_2002/</u>

## Experimental Modes

Modes:

Transmission

Fluorescence

Section Sec

Designing the experiment requires an understanding of sample preparation methods, experimental modes, and data analysis

Comparison to theory requires stringent attention to systematic errors – experimental errors don't cancel out with standard

## Transmission

Simplest XAFS measurement

Measure relative xray flux transmitted through homogeneous sample



## uniform sample

Uniform, homogeneous sample:

$$\frac{I}{I_0} = \exp(-\mu(E)x)$$

 $\boldsymbol{x}$  is the sample thickness

 $\mu(E)$  is the linear x-ray absorption coefficient at x-ray energy E

Decreases roughly as  $1/E^3$  between absorption edges

## Absorption Length

"Absorption Length"  $\equiv 1/\mu$ 

distance over which x-ray intensity decreases by factor 1/e ~ 37%

sets the fundamental length scale for choosing sample thickness, particle size, and sample homogeneity

You should calculate it when designing experiments

## Absorption Coefficient

Single substance:

$$\mu = \rho \sigma$$

 $\rho$  is the density;  $\sigma$  is the cross section.

If the units of  $\rho$  are g/cm<sup>3</sup> the cross section is in cm<sup>2</sup>/g.

If the units of  $\rho$  are atoms/cm<sup>3</sup> the cross section is in cm<sup>2</sup>/atom.

 $1 \text{barn} = 10^{-24} \text{cm}^2$ .

## Cross section

Interaction between a beam of particles (photons) and a target

Definition of "cross section"  $\sigma$ :  $R[\frac{photons}{s}] = \Phi[\frac{photons}{s * cm^{2}}] * \sigma[\frac{cm^{2}}{atom}] * N[atom],$ alternatively  $R[\frac{photons}{s}] = \Phi[\frac{photons}{s * cm^{2}}] * \sigma[\frac{cm^{2}}{g}] * M[g]$ 

### Sources of Cross Section Data

- S. Brennan and P.L. Cowan, Rev. Sci. Instrum, vol 63, p.850 (1992).
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## compounds

Absorption coefficient approximately given by

$$\mu \approx \sum_{i} \rho_i \sigma_i = \rho_{\mathsf{M}} \sum_{i} \frac{m_i}{M} \sigma_i = \rho_{\mathsf{N}} \sum_{i} \frac{n_i}{N} \sigma_i$$

where  $\rho_{\rm M}$  is the mass density of the material as a whole,  $\rho_{\rm N}$  is the number density of the material as a whole, and  $m_i/M$  and  $n_i/N$  are the mass fraction and number fraction of element *i*.

### Sample Calculation

Fe<sub>3</sub>O<sub>4</sub> (magnetite) at 7.2 KeV; http://www.csrri.iit.edu/periodic-table.html

density 5.2  $\frac{g}{cm^3}$ MW=3 \* 55.9 $\frac{g}{mol}$  + 4 \* 16.0 $\frac{g}{mol}$  = 231.7 $\frac{g}{mol}$   $\sigma_{Fe} = 393.5 \frac{cm^2}{g}$ ;  $M_{Fe} = 55.9 \frac{g}{mol}$ ;  $f_{Fe} = 55.9/231.7 = .724$ ;  $\sigma_O = 15.0 \frac{cm^2}{g}$ ;  $M_O = 16.0 \frac{g}{mol}$ ;  $f_O = 16.0/231.7 = .276$ ;

 $\mu = 5.2 \frac{g}{cm^3} (.724 * 393.5 \frac{cm^2}{g} + .276 * 15.0 \frac{cm^2}{g})$ = 1503/cm = .15/micron Absorption Length = 1µm/.15 = 6.7 microns

Even if you don't know the density exactly you can estimate it from something similar. It's probably between 2 and 8 g/cm^3

### Transmission nonuniform sample

What's the problem with nonuniform samples? Characterized by thickness distribution P(x) $\mu x_{\text{eff}}(E) = -\ln \int_0^\infty P(x) \exp(-\mu(E)x) dx$ 

$$=-\sum_{n=1}^{\infty}\frac{C_n(-\mu)^n}{n!},$$

where  $C_n$  are the cumulants of the thickness distribution ( $C_1 = \bar{x}, C_2 =$  mean square width, etc.)

A Gaussian distribution of width  $\sigma$  has

$$\mu x_{\rm eff}(E) = \mu \bar{x} - \mu^2 \sigma^2 / 2$$

ref gb dissertation 1984

### Effect of Gaussian thickness variation

$$P(x) = \frac{1}{\sigma\sqrt{(2\pi)}} \exp\left(\frac{-(x-\bar{x})^2}{2\sigma^2}\right)$$

P(x)

 $\mu x_{
m eff}$  and  $\mu ar{x}$  vs  $\mu$ 

 $\mu x_{\rm eff}'$  vs  $\mu$ 



### Effect of leakage/harmonics

Leakage (zero thickness) fraction a, together with gaussian variation in thickness centered on  $x_0$  with width  $\sigma$ :

$$P(x) = a\delta(x) + (1-a)\frac{1}{\sigma\sqrt{2\pi}}\exp(\frac{-(x-\bar{x})^2}{2\sigma^2})$$

30

20

10

 $\mu x_{\text{eff}}(E) = -\ln\left(a + (1 - a)\exp\left(-\mu x_0 + \mu^2 \sigma^2/2\right)\right)$ 

### Effect of pinholes (leakage) or harmonics

$$P(x) = a\delta(x) + (1-a)\frac{1}{\sigma\sqrt{2\pi}}\exp(\frac{-(x-\bar{x})^2}{2\sigma^2})$$



### Effect of Leakage on spectra

MnO 10 micron thick
~2 absorption lengths
leakage varied from 0% to 10%
Edge jump is reduced
EXAFS amplitudes are reduced
white line height compressed

 thickness effects distort both XANES and EXAFS – screw up fits and integrals of peak areas

 If you are fitting XANES spectra, watch out for these distortions



# Thickness effects always reduce EXAFS Amplitudes

The measured EXAFS is the variation in apparent absorption  $f(\mu)$  above the edge, divided by the measured edge step:

 $\chi_{eff} = \frac{f(\mu_a + \delta\mu) - f(\mu_a)}{f(\mu_a) - f(\mu_b)} \sim \frac{df}{d\mu} \Big|_{\mu a} \frac{\delta\mu}{f(\mu_a) - f(\mu_b)} \text{ where } \delta\mu \text{ is the true variation in } \mu \text{ (which us taken to be small), and } \mu_a \text{ and } \mu_b \text{ are respectively the absorption above and below the edge. The true } \chi \text{ is defined as } \chi = \frac{(\mu_a + \delta\mu) - (\mu_a)}{\mu_a - \mu_b} = \frac{\delta\mu}{\mu_a - \mu_b} \text{ . Thus, thickness effects alter the measured EXAFS amplitudes by the ratio: } \frac{\chi_{eff}}{\chi} = \frac{df}{d\mu} \Big|_{\mu a} \frac{\mu_a - \mu_b}{f(\mu_a) - f(\mu_b)} \text{ . To evaluate the right side of this equation, consider the tayler expansion: } f(\mu_b) = f(\mu_a) + \frac{df}{d\mu} \Big|_{\mu a} (\mu_b - \mu_a) + \frac{1}{2} \frac{d^2 f}{d\mu^2} \Big|_{\mu a} (\mu_b - \mu_a)^2 + \dots \text{ This can be rewritten: } \frac{df}{d\mu} \Big|_{\mu a} - \frac{f(\mu_a) - f(\mu_b)}{(\mu_a - \mu_b)} = \frac{1}{2} \frac{d^2 f}{d\mu^2} \Big|_{\mu a} (\mu_a - \mu_b) + \dots \text{ }$ 

The series is essentially an expansion in powers of a parameter of size roughly  $\sim \mu_a \sigma$ , where  $\sigma$  is the rms width of P(x). From the previous discussion, the curvature of f is always negative or zero, and  $\mu_a > \mu_b$ , which implies that right side is negative or zero. Therefore  $\chi_{eff}/\chi$  is less than (or at most equal to) unity.

#### from http://gbxafs.iit.edu/training/thickness\_effects.pdf

### Simple model of thickness distribution

Thickness distribution is a sum of gaussians of weight  $a_n$ , thickness  $x_n$ , and width  $\sigma_n$ :

$$P(x) = \sum_{n} \frac{a_n}{\sigma_n \sqrt{2\pi}} \exp\left(\frac{-(x - x_n)^2}{2\sigma_n^2}\right)$$

$$ux_{eff}(E) = -\ln\left(\sum_{n} a_n \exp\left(-\mu x_n + \mu^2 \sigma_n^2/2\right)\right)$$

This expression can be used to estimate the effect of thickness variations

### Example - Layers of spheres



### Thickness Distribution

square lattice - holes in one layer covered by spheres in next layer



## Transmission – Summary

Samples in transmission should be made uniform on a scale determined by the absorption length of the material

Absorption length should be calculated when you're designing experiments and preparing samples

### When to choose Transmission

Tou need to get x-rays through the sample

- Total thickness should be kept below <2-3 absorption lengths including substrates to minimize thickness effects
  - beam hardening" choose fill-gases of back ion chamber to minimize absorption of harmonics; get rid of harmonics by monochromator detuning, harmonic rejection mirrors, etc.
- Selement of interest must be concentrated enough to get a decent edge jump (> 0.1 absorption length)
- Pinholes and large thickness variations should be minimized
- If you can't make a good transmission sample, consider using fluorescence or electron yield

### Fluorescence Radiation in the Homogeneous Slab Model

- Probability the photon penetrates to a depth x in the sample
- and that is absorbed by the element i in a layer of thickness dx
- and as a consequence it emits with probability  $\epsilon$  a fluorescence photon of energy  ${\rm E_f}$
- which escapes the sample and is radiated into the detector

$$dI_f = I_0 \epsilon_a \frac{\mu_a(E)}{\sin \theta} e^{\frac{-\mu(E)x}{\sin \theta}} e^{\frac{-\mu(E_f)x}{\sin \Phi}} dx$$

$$I_f = \frac{I_0 \epsilon_a \left(\frac{\mu_a}{\sin \theta}\right)}{\frac{\mu_T}{\sin \theta} + \frac{\mu_f}{\sin \phi}} \left[1 - e^{-\left(\frac{\mu_T}{\sin \theta} + \frac{\mu_f}{\sin \phi}\right)d}\right]$$



• Thin Sample

$$[(\frac{\mu_T}{\sin\theta} + \frac{\mu_f}{\sin\phi})d] \ll 1$$

$$(I_f)_{thin} = I_0 \epsilon_a (\frac{\mu_a}{\sin \theta}) d$$

## Fluorescence samples

- Thin concentrated limit simple
- Thick dilute limit simple
- Thick concentrated requires numerical corrections (e.g. Booth and Bridges). Thickness effects can be corrected also if necessary by regularization (Babanov et al).
- Sample Requirements
  - Particle size must be small compared to absorption lengths of particles (not just sample average)
    - Can be troublesome for in situ studies
  - Homogeneous distribution
  - Flat sample surface preferred

### Speciation problems

**Fluorescence vs Particle Size** 0.4 0.35 -x=0.125-x=0.25 x=0.5 0.3 x=1x=2 -x = 40.25 <u>→ x=8</u> **ä** 0.2 0.15 0.1 0.05 1 1 1 1 1 0 6500 6400 6600 6700 6800 6900 Energy (ev)

Nonlinear distortions of the spectra depend on particle size and distribution. This affects speciation results

### Modeling Fluorescence

Monte Carlo and analytical calculations of Tannazi and Bunker

Analytical calculations build on work by Hunter and Rhodes, and Berry, Furuta and Rhodes (1972)

### Computation of fluorescence radiation from arbitrarily shaped convex particles by Monte Carlo methods



Number of the points that satisfy the surface equation

Cuboidal Particles (stereo)



Calculate the probability as function of mu and mu\_f



### **Different Orientations**

## d0,d1 maps Log[p] \_4 иf 10 0

Even the particle orientation matters if particles too large



Figure 5.8.  $\{d_0, d_1\}$  Maps for Cuboid at  $\theta_0 =:$  (a) 30, (b) 60, (c) 90, (d) 120, (e) 150, and (f) 180 degrees and  $\theta_1 = \pi - \theta_0$  degrees.

### **Cumulant Coefficients**

$$\bar{P} = \int F(d_0, d_1) e^{-(\mu d_0 + \mu_f d_1)} dd_0 dd_1.$$

$$\log \bar{P} = \sum_{n=1}^{\infty} \sum_{m=1}^{\infty} \frac{(\mu)^n}{n!} \frac{(\mu_f)^m}{m!} C_{nm}$$



• The log of the mean probability can be expanded as a power series in both  $\mu$  and  $\mu_{f}$ . The coefficients are related to the cumulants of the (2D) distribution of distances d0,d1. The main point is that the probabilities for a given shape of particle (and theta, phi) can be parameterized by a handful of numbers, the coefficients.



Figure 5.11. Sphere

Table 5.3. Cumulant Coefficients Table for Sphere

-							
	Coef.	$\theta_0=30^\circ$	$\theta_0=60^\circ$	$\theta_0=90^\circ$	$\theta_0=120^\circ$	$\theta_0=150^\circ$	$\theta_0=180^\circ$
	1	-0.0509	-0.051	-0.051	-0.0499	-0.0492	-0.0501
	$\mu$	-0.6484	-0.651	-0.645	-0.6552	-0.6624	-0.6572
	$\mu^2$	0.068	0.0677	0.0673	0.06798	0.0687	0.0683
	$\mu^3$	-0.0029	-0.003	-0.0029	-0.0029	-0.0029	-0.0029
	$\mu\mu_f$	0.13399	0.017	-0.1328	-0.1407	0.0033	0.12685
	$\mu^2 \mu_f$	-0.0158	0.0011	0.0173	0.0187	0.0034	-0.01459
	$\mu^{3}\mu_{f}$	0.0007	-0.0001	-0.0008	-0.0008	-0.00023	0.00062
	$\mu {\mu_f}^2$	-0.016	0.0018	0.0168	0.01783	0.0029	-0.01451
	$\mu^2 {\mu_f}^2$	0.0019	-0.0006	-0.0019	-0.00202	-0.00076	0.0017
	$\mu^3 {\mu_f}^2$	-0.00008	0.00004	0.00008	0.00008	0.00004	-0.000074
	$\mu \mu_f{}^3$	0.00068	-0.00017	-0.00074	-0.00078	-0.00021	0.00062
	$\mu^2 {\mu_f}^3$	-0.00008	0.00004	0.00008	0.000081	0.00004	-0.00007
	$\mu^3 \mu_f^3$	$3.5\times10^{-6}$	$-2.1\times10^{-6}$	$-3.01\times10^{-6}$	$-3.08\times10^{-6}$	$-2.2\times10^{-6}$	$3.12  imes 10^{-6}$
	$\mu_f$	-0.6521	-0.672	-0.6562	-0.6548	-0.663	-0.6468
	$\mu_f^2$	0.0678	0.07063	0.0681	0.0683	0.0689	0.0663
	$\mu_f{}^3$	-0.0029	-0.00301	-0.0029	-0.0029	-0.0029	-0.0028

Other shapes tabulated in Firouzeh Tannazi dissertation

## Dilution

Seven if the sample is dilute on average you may not be really in the dilute limit

Each individual particle must be small enough, otherwise you will get distorted spectra

Don't just mix up your particles with a filler and assume it's dilute. Make them small first.

### Hunter and Rhodes Model Continuous Size Distribution

- This model is a generalization of BFR model that has been developed by the authors above (1972), and is a formulation for continuous size distribution.
- In this approach we need to define a particle size distribution function:



- Where  $a_{min}$  and  $a_{max}$  are the smallest and larges particle size in the sample and a is the particle size that is a variable in this approach.
- The probabilities term compare to the BFR model are defined as differential probabilities here:  $P_f(a,a')$  da.
- For calculation average transmission or fluorescence radiation through I layers we need to consider three different cases: No overlap in all layers, Some overlap and, total overlap.
- The total Fluorescence radiation is obtained from this formula, which we are adapting to XAFS.



### HR Model

$$dI(a)_{i+1} = \frac{I_0}{A} \cdot \bar{J}_i(a) \cdot \bar{J}_{if}(a) \cdot (dn_f(a))_a \cdot \bar{\alpha} \cdot F(a)$$

$$dI_f(a) = \frac{I_0 \epsilon_a \mu_a(E) \eta C_f f(a) [1 - e^{-\sqrt{2}(\mu_T f(E) + \mu_f(E_f))a}]}{[\mu_T f(E) + \mu_f(E_f)]} \times \sum_{i=0}^n (\bar{J}(a) \cdot \bar{J}(a))^i$$

$$J_1(a) = 1 - a\eta \int_{a_{\min}}^{a_{\max}} \frac{1}{\bar{d}} (C_f \ f(a') [1 - e^{-\sqrt{2\mu_T} (E)\bar{d}}] +$$

$$C_n g(a')[1 - e^{-\sqrt{2}\mu_{Tn}(E)\bar{d}}])da'$$

#### HR Model

Fluorescence in HR Model

nonlinear compression of spectra









#### Comparison between BFR, HR, and Slab Model

Fluorescence in BFR Model and Slab Model



All 3 models agree in the appropriate limits

## Summary – Fluorescence

 Particle size effects are important in fluorescence as well as transmission

- The homogeneous slab model is not always suitable but other models have been developed
- If the particles are not sufficiently small, their shape, orientation, and distribution can affect the spectra in ways that can influence results
- Particularly important for XANES and speciation fitting

## Electron yield detection

sample placed atop and electrically connected to cathode of helium filled ion chamber

electrons ejected from surface of sample ionize helium – their number is proportional to absorption coefficient

The current is collected as the signal just like an ionization chamber

 Surface sensitivity of electron detection eliminates self-absorption problems but does not sample bulk material

### Sample Inhomogeneities

Importance of inhomogeneity also can depend on spatial structure in beam

ø bend magnets and wiggler beams usually fairly homogeneous

Ondulator beams trickier because beam partially coherent

coherence effects can result in spatial microstructure in beam at micron scales

Beam Stability

Samples must not have spatial structure on the same length scales as x-ray beam. Change the sample, or change the beam. Foils and films often make good transmission samples

stack multiple films if possible to minimize through holes

check for thickness effects (rotate sample)

Foils and films can make good thin concentrated or thick dilute samples in fluorescence

Consider grazing incidence fluorescence to reduce background and enhance surface sensitivity

## Solutions

Sually solutions are naturally homogeneous

- Can make good transmission samples if concentrated
- Output Usually make good fluorescence samples (~1 millimolar and 100 ppm are routine), lower concentrations feasible
- They can become inhomogeneous during experiment

ø phase separation

- radiation damage can cause precipitation (e.g. protein solutions)
- ø photolysis of water makes holes in intense beams
- suspensions/pastes can be inhomogeneous

## Particulate samples

- First calculate the absorption length for the material
- prepare particles that are considerably smaller than one absorption length of their material, at an energy above the edge
- Many materials require micron scale particles for accurate results
- Distribute the particles uniformly over the sample cross sectional area by dilution or coating

## Making Fine Particles

Ouring synthesis -> choose conditions to make small particles

Grinding and separating

sample must not change during grinding (e.g. heating)

For XAFS can't use standard methods (e.g. heating in furnace and fluxing) from x-ray spectrometry, because chemical state matters

Have to prevent aggregation back into larger particles

## Grinding Materials

- Dilute samples have to prevent contamination
- mortar and pestle (porcelain or agate (a form of quartz))



- ø inexpensive small volume ball mill (e.g. "wig-l-bug" ~\$700 US)
  - ø agate vials available
  - ø disposable plastic vials for mixing
  - standard for infrared spectrometry
- Frisch mill (several thousands of \$K US)
   e.g. MiniMill 2 Panalytical, Gilson Micromill



### Sieves

3" ASTM sieves work well

- Screen out larger particles with coarse mesh (100 mesh)
- pass along to next finer sieve
- Sieve stack and shaker
- Usually can do well with 100, 200, 325, 400, 500, 635 mesh
- Still only guarantees 20 micron particles, too big for many samples

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size (microns) ~15000/mesh

100 mesh	150 µm
115 mesh	125 µm
150 mesh	106 µm
170 mesh	90 µm
200 mesh	75 µm
250 mesh	63 µm
270 mesh	53 µm
325 mesh	45 µm
400 mesh	38 µm
450 mesh	32 µm
500 mesh	25 µm
635 mesh	20 µm



## sedimentation

Selecting yet smaller particles

Drag force on a spherical particle of radius R moving at velocity v in fluid of viscosity  $\eta$ :  $F = 6\pi\eta Rv$ . Particles of density  $\rho$  will fall through the fluid of density  $\rho_0$  at a speed in which the particle's weight, less the buoyancy force, equals the drag force:

$$(\rho - \rho_0) \frac{4}{3} \pi R^3 g = 6 \pi \eta R v.$$

If the height of the fluid in the container is h, the time t that it would take all particles of radius R to fall to the bottom would then be:

$$t = \frac{9}{5} \frac{\eta h}{(\rho - \rho_0)gR^2}$$

## Sedimentation cont'd

By knowing the densities of the material and the liquid (e.g. acetone), and the viscosity, the fluid height, and the required particle radius, you calculate the time.

Mix it in, stir it up

ø wait the required time

ø decant the supernatant with a pipette

o dry particles in a dish in a fume hood

Must have non-reactive liquid

## worked example

Example: MnO in acetone at 20C viscosity of acetone :  $\eta = 0.0032$  Poise = 0.0032 g/(cm \* s)  $R = 1\mu$ m  $= 3 * 10^{-4}$ cm density of acetone:  $\rho_0 = 0.79 \frac{g}{cm^3}$ density of MnO:  $\rho = 5.4 \frac{g}{cm^3}$ h = 5cm g = 980cm/s<sup>2</sup>  $\rightarrow t = 638$  seconds.

### Assembling Samples

A) Mix uniformly (use wig-l-bug) into filler or binder

- on nonreactive, devoid of the element you are measuring, made of a not-too-absorbant substance e.g. Boron Nitride, polyvinyl alcohol, corn starch
- Place into a sample cell (x-ray transparent windows e.g kapton or polypropylene), or press to make pellet
- B) Apply uniform coating to adhesive tape
  - Scotch Magic Transparent Tape (clean, low absorption)
  - o use multiple layers to cover gaps between particles
  - ø watch for brush marks/striations
- O Make a "paint" (e.g. Duco cement thinned with acetone)

# Checking the sample check composition by spectroscopy or diffraction

visually check for homogeneity

- caveat: x-ray vs optical absorption lengths
- tests at beamline: move and rotate sample
- ø digital microscope (Olympus Mic-D \$800 US)
- ø particle size analysis (Image/J free)
- If you have nice instruments like a scanning electron microscope or light scattering particle size analyzer, don't hesitate to use them

## Preferred Orientation

If your sample is polycrystalline, it may orient in non-random way if applied to a substrate

since the x-ray beams are polarized this can introduce an unexpected sample orientation dependence

Test by changing sample orientation

Magic-angle spinning to eliminate effect

## Control samples

- In fluorescence measurements always measure a blank sample without the element of interest under the same conditions as your real sample
- Many materials have elements in them that you wouldn't expect that can introduce spurious signals
- Most aluminum alloys have transition metals
- Watch out for impurities in adhesive films
- Fluorescence from sample environment excited by scattered x-rays and higher energy fluorescence

## HALO

Harmonics

- ø get rid of them
- Alignment
  - ø beam should only see uniform sample
- S Linearity

ø detectors and electronics must linear

Ø Offsets

subtract dark currents regularly

### Conclusion

- Calculate the absorption coefficients so you know what you are dealing with at the energies you care about. Think like an x-ray. Know what to expect.
- Absorption coefficients increase dramatically at low energies ~ 1/E^3. Know the penetration depths.
- Make particles small enough and samples homogeneous
- Check experimentally for thickness, particle size, and self absorption effects
- Choose materials so the sample is not reactive with sample cell or windows.